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A Survey on Privacy-Preserving Learning using Vertical Federated Learning with Representation Synthesis

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Abstract—In an era where data is one of the most valuable resources we have, protecting it has become just as important as using it. Traditional machine learning has long relied on centralizing data by pulling everything into one place so a model can learn from it. However, as datasets become larger and more sensitive, this approach introduces serious risks including privacy violations, data breaches, and reduced willingness among organizations to share confidential information.

This paper presents a Vertical Federated Learning (VFL) framework designed to allow multiple organizations to collaboratively train a machine learning model without exchanging raw data. In this approach, each participating organization holds different features of the same users and trains a local model independently. Only intermediate representations are shared with a central server, which combines them into a unified global model while preserving privacy.

The proposed framework significantly reduces security vulnerabilities and enables privacy-preserving collaboration among industries such as healthcare, banking, and government sectors where data confidentiality is critical. The study demonstrates that privacy and performance can coexist effectively through carefully designed distributed learning architectures.

I. INTRODUCTION

The rapid growth of digital technologies has led to an enormous increase in data generation across industries such as healthcare, finance, and e-commerce. Machine learning systems rely heavily on such data to generate accurate predictions and intelligent services. Traditional machine learning methods require centralized data collection, where all information is gathered and processed in a single location. While effective, this approach raises significant concerns regarding privacy, security, and regulatory compliance.

Organizations handling sensitive information are often unwilling or legally restricted from sharing raw datasets with external parties. Regulations such as GDPR and HIPAA impose strict rules on how personal information should be stored and processed. As a result, there is a growing demand for machine learning frameworks that can support collaborative learning without compromising data confidentiality.

Federated Learning addresses this challenge by allowing machine learning models to be trained directly where the data resides. Instead of transferring raw data, only model updates or intermediate outputs are shared during training. Vertical Federated Learning (VFL) extends this concept further by enabling organizations with different feature sets of the same users to collaboratively train models securely.

This paper explores a privacy-preserving Vertical Federated Learning framework combined with representation synthesis techniques. The proposed system enables organizations to contribute their local knowledge without exposing sensitive data, thereby creating a secure and efficient collaborative learning environment.

II. BACKGROUND AND MOTIVATION

Modern organizations possess massive volumes of valuable data. Hospitals maintain patient health records, banks store transaction histories, and technology companies collect behavioral information. Individually, each dataset provides only partial insights. Combining these datasets could significantly improve predictive capabilities and decision-making processes. However, directly sharing sensitive information introduces major risks including data breaches, unauthorized access, and regulatory violations. Organizations are therefore forced to choose between collaboration and privacy protection. This challenge motivated the development of privacy-preserving distributed learning systems.

Vertical Federated Learning offers a promising solution by allowing multiple parties to collaboratively train machine learning models without exchanging raw data.

Each participant retains complete ownership of its data while contributing privacy-safe intermediate representations to the learning process.

The motivation behind this work is to design a framework where organizations can benefit from collective intelligence without compromising confidentiality, trust, or legal compliance.

III. LITERATURE SURVEY

A. Personalized Federated Learning for Privacy-Preserving and Scalable IoT-Driven Smart Healthcare

- Author and Year: Dilip Kumar Jang Bahadur Saini, Nilesh Shelke, Amit Pimpalkar, Prajwalasimha SN, Ranjima P, Vinitha V (2025)
- Methodology: This study proposes a personalized federated learning framework for smart healthcare applications. The system integrates federated learning with IoT-based medical devices to support privacy-preserving healthcare analytics. Meta-learning techniques and lightweight homomorphic encryption are used to improve personalization and security while maintaining scalability across distributed healthcare environments.
- Limitation: The framework introduces increased computational overhead due to personalization mechanisms. Scalability beyond large client networks remains a challenge, and multi-modal healthcare data integration requires further improvement.

B. Federated Learning: Next-Gen Privacy-Preserving AI Framework for Consumer and Industrial Applications

- Author and Year: Ravinder Singh, Smriti Mahajan, Sofia Singh (2025)
- Methodology: This paper proposes a multi-layer federated learning architecture integrating Differential Privacy, Homomorphic Encryption, Secure Multi-Party Computation (SMPC), and Trusted Execution Environments. The framework supports secure collaborative learning across industrial and consumer applications using non-IID distributed datasets.
- Limitation: The study relies mainly on synthetic datasets and simulated security mechanisms. Real-world deployment challenges and adversarial attack resistance are not fully addressed.

C. Vertical Federated Representation Synthesis for Non-Aligned Samples in the Active Party

- Author and Year: Jintao Liang, Sen Su, Zhenya Wang (2025)
- Methodology: This paper introduces a Vertical Federated Learning framework called VFedRS that handles non-aligned samples through representation synthesis. Vertical Federated PCA is used to generate missing feature representations securely while improving collaborative learning performance without exposing raw data.
- Limitation: The approach increases communication and computational overhead during representation synthesis. Model accuracy also depends heavily on the availability of aligned samples.

D. Secured Cost-Effective Anonymous Federated Learning With Proxied Privacy Enhancement for Personal Devices

- Author and Year: Muhammad Senoyodha Brennaf, Po Yang, and Vitaveska Lanfranchi (2025)
- Methodology: This paper introduces a proxy-based anonymous federated learning framework for personal devices. The proxy removes client identity information before forwarding encrypted model updates to the server, improving anonymity and privacy protection. Two communication protocols, namely Two-Stage Communication (2SC) and Three-Stage Communication (3SC), are proposed along with AES encryption and LZ-string compression to reduce bandwidth usage while preserving model accuracy.
- Limitation: Collusion between the proxy and the server can still expose client identities. The framework also lacks formal Differential Privacy guarantees and faces tradeoffs between bandwidth efficiency, memory usage, and inference speed.

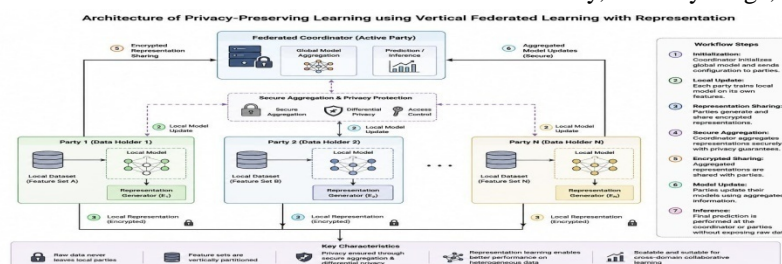


Fig. 1. Architecture

E. Federated Learning for Distributed IoT Security: A Privacy-Preserving Approach to Intrusion Detection

- Author and Year: Gutti Chandu, Thumula Karthik, and Balbudhe Parag (2025)
- Methodology: This paper proposes a federated learning-based intrusion detection framework for distributed IoT networks. IoT devices locally train security models and share encrypted updates with the server instead of transmitting raw network traffic data. The framework improves privacy preservation, reduces centralized attack risks, and supports collaborative cyberattack detection across heterogeneous IoT devices.
- Limitation: The framework mainly focuses on intrusion detection and is not evaluated for broader IoT analytics applications. Communication overhead and synchronization delays may increase significantly in large-scale deployments.

F. Federated Learning for Privacy-Preserving Data Mining

- Author and Year: Dattatray Raghunath Kale, Tushar Mane, Amar Buchade, Prashant Bansilal Patel, et al. (2024)
- Methodology: This paper presents a federated learning framework for privacy-preserving data mining across healthcare, finance, and IoT domains. The framework combines encryption methods and Differential Privacy to enable collaborative model training without sharing sensitive raw data. It also addresses communication efficiency and heterogeneous non-IID data distributions while maintaining performance close to centralized systems.
- Limitation: The use of strong privacy-preserving techniques increases computational complexity and communication overhead. The framework also faces challenges related to secure aggregation and protection against malicious participants.

IV. METHODOLOGY

The proposed system follows a Vertical Federated Learning architecture where multiple organizations collaboratively train a machine learning model without exchanging raw datasets. Each organization stores different attributes of the same users locally and independently trains a local model.

After local training, privacy-preserving embeddings are generated and securely shared with a central aggregation server. The server combines these embeddings into a unified representation and trains a final global model capable of generating accurate predictions while maintaining data confidentiality.

A. Data Acquisition and Collection

The framework collects distributed feature sets from multiple organizations participating in the Vertical Federated Learning process. Each organization maintains different information about the same group of users.

The collected data may include:

- Structured Data: Feature vectors, transaction records, demographic information, and numerical datasets.
- Semi-Structured Data: JSON files, metadata, system logs, and communication parameters.
- Unstructured Data: Medical reports, textual documents, and multimedia files.

All data remains stored locally within the participating organizations.

B. Data Validation and Preprocessing

Before training begins, each organization preprocesses and validates its local datasets.

The preprocessing stage includes:

- Data cleaning and duplicate removal
- Handling missing and inconsistent values
- Feature normalization and scaling
- Validation against predefined formats
- Privacy screening to remove identifiable information. These steps ensure that only clean and reliable representations participate in the federated learning process.

C. Application Layer and User Interaction

The application layer provides interfaces for participating organizations to interact with the system securely.

Key functionalities include:

- User-friendly client interfaces

- Secure authentication and authorization
- Role-based access control
- Monitoring training progress
- Visualization of model results and performance metrics This layer simplifies interaction between users and the federated learning infrastructure.

D. Blockchain Network and Distributed Ledger

The blockchain layer maintains secure and immutable records of federated learning activities. Transactions related to model updates and client participation are recorded within distributed ledger blocks.

Cryptographic hashing techniques ensure data integrity, transparency, and tamper resistance throughout the collaborative learning environment.

E. Security and Privacy Mechanisms

To strengthen system security, the framework integrates several privacy-preserving mechanisms.

- Encryption: Secure communication channels protect transmitted embeddings.
- Secure Aggregation: Local representations are aggregated without exposing private data.
- Access Monitoring: Blockchain records maintain transparent audit logs.
- Privacy Preservation: Raw datasets never leave local organizational environments.

These mechanisms collectively improve trust, confidentiality, and system reliability.

V. CONCLUSION

This paper presented a privacy-preserving learning framework using Vertical Federated Learning with Representation Synthesis. The proposed architecture enables multiple organizations to collaboratively train machine learning models while ensuring that sensitive raw data remains protected within local environments.

By integrating secure aggregation, encryption techniques, and distributed learning mechanisms, the framework enhances privacy, transparency, and collaboration among participating organizations. The system demonstrates that organizations can achieve high-quality collaborative intelligence without sacrificing confidentiality or regulatory compliance.

Future work may focus on reducing communication overhead, improving scalability for large-scale deployments, and integrating advanced privacy-preserving technologies such as fully homomorphic encryption and differential privacy.

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