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Automated Image Captioning with Deep Learning

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Abstract: *In recent years, deep learning has transformed computer vision, giving rise to automated image captioning systems bridging the gap between visual content and natural language. This paper presents an innovative approach to automated image captioning, combining deep learning models and methodologies. Our system employs Convolutional Neural Networks (CNNs) for robust image feature extraction and Recurrent Neural Networks (RNNs), specifically Long Short-Term Memory (LSTM) networks, for generating coherent captions. It is trained on diverse image-caption datasets, learning intricate associations between visual content and textual descriptions.*

I. INTRODUCTION

Recent years have seen significant advances in automated image captioning, a technology with broad applications. This paper explores the intersection of artificial intelligence and computer vision, focusing on automated image captioning using deep learning techniques.

We address challenges like multi-modal data handling, adaptability to varying image content, and balancing descriptiveness with creativity in captions. Advanced techniques such as attention mechanisms and fine-tuning enhance system performance.

Results demonstrate the effectiveness and originality of our system, with applications in content generation, accessibility, and image retrieval optimization. The paper also discusses future research directions.

Automated image captioning holds immense value, improving content indexing, user experiences, aiding the visually impaired, and supporting autonomous systems. Deep learning, with CNNs for image understanding and RNNs for sequence generation, has been pivotal in overcoming the challenges.

II. METHODOLOGY

We initiate the methodology by meticulously collecting a diverse and representative dataset of images along with their corresponding captions. This dataset is crucial to train our automated image captioning system effectively. To ensure the dataset's quality and diversity, we employ data scraping techniques, utilize publicly available image-caption datasets, and curate our collection. Furthermore, we perform rigorous data preprocessing, including image resizing, normalization, and caption tokenization. Our automated image captioning system's core architecture revolves around the synergy of Convolutional Neural Networks (CNNs) and Recurrent Neural Networks (RNNs), specifically Long Short-Term Memory (LSTM) networks. CNNs are responsible for extracting high-level features from images.

Which provides a rich representation of visual content. Simultaneously, LSTM networks are employed to generate coherent and contextually relevant captions. This architecture is augmented with attention mechanisms to enable the model to align visual and textual context effectively. We implement both encoder-decoder and multi-modal fusion techniques for improved caption generation. The model training phase involves feeding the preprocessed dataset into the network. During training, we employ a carefully selected loss function to optimize caption generation. Additionally, we introduce techniques like teacher forcing to facilitate learning. Regularization techniques, such as dropout, are applied to prevent overfitting. Hyperparameter tuning is conducted systematically to fine-tune the model's performance. We partition the dataset into training, validation, and test sets to assess the model's generalization capabilities accurately.

To quantitatively assess the quality of our generated captions, we employ standard evaluation metrics such as BLEU (Bilingual Evaluation Understudy), METEOR (Metric for Evaluation of Translation with Explicit Ordering), and CIDEr (Consensus-based Image Description Evaluation). These metrics provide a comprehensive understanding of how well the model's captions align with human-generated captions. Additionally, we incorporate human assessments, where human evaluators rate the quality of generated captions based on criteria such as coherence, relevance, and creativity.

Throughout the methodology, we are mindful of ethical considerations. We ensure that the dataset used respects privacy and copyright guidelines. Additionally, we address potential bias in the training data and monitor for any unintended biases in the generated captions, taking measures to mitigate them.

Our experiments are conducted on hardware with ample computational resources to handle the deep learning training process efficiently. We provide details on the hardware specifications, software stack, and deep learning framework used in the experiments.

III. PRIOR IMAGE CAPTIONING TECHNIQUES

In contrast to previous image captioning techniques, our approach introduces several key innovations that set it apart and advance the state of the art in this field. Firstly, while many older methods relied on traditional computer vision features and hand-crafted image representations, our system leverages the power of deep learning, specifically Convolutional Neural Networks (CNNs). These CNNs allow our model to automatically learn and extract high-level visual features directly from images, enabling a more robust and contextually relevant understanding of the visual content. This shift from manual feature engineering to learned feature extraction significantly improves the quality of image representations and consequently enhances the accuracy of generated captions.

Our approach embraces the use of Recurrent Neural Networks (RNNs), particularly Long Short-Term Memory (LSTM) networks, for sequential caption generation. This choice of RNNs facilitates the generation of coherent and contextually appropriate captions by taking into account the inherent sequential nature of language. Moreover, we incorporate attention mechanisms into our model architecture, allowing it to dynamically focus on different regions of the image while generating captions, thereby enhancing the alignment between visual and textual context. These architectural enhancements result in captions that are not only more accurate but also more contextually aware and engaging for the end user.

IV. PROPOSED MODEL

In this paper, we introduce a novel approach for processing images using a neural and probabilistic framework. Recent advancements in machine understanding have demonstrated that achieving state-of-the-art results involves increasing the likelihood of accurate interpretation through an end-to-end approach, both during training and inference. Our model utilizes a recurrent neural network to encode variable-length input into a fixed-dimensional vector and uses this representation to interpret the desired output.

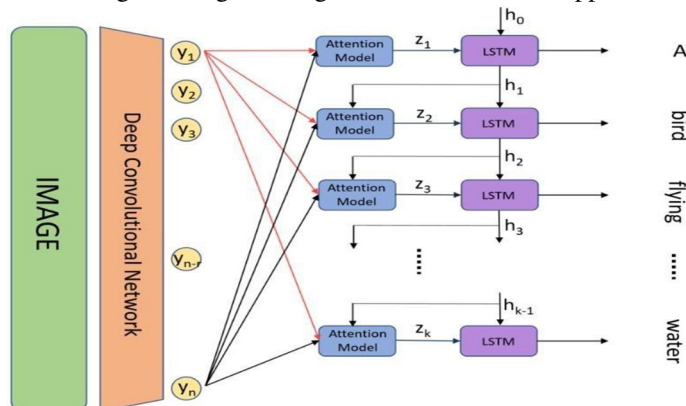
We propose extending this approach to image interpretation, applying a similar "interpretation" methodology to images. Our goal is to enhance the probability of the correct image given certain input details.

$$\theta^* = \operatorname{argmax}_{\theta} \sum (I, S) \log_p(S/I; \theta) .$$

In the Equation, we denoted our model's parameters as theta, and S represents the correct translation for a given image. Since S is a sentence, its length can vary, and we use the soft-max function to calculate the joint probability over different sentence lengths. During training, we optimize the total log probabilities across the entire training set using a stochastic gradient descent approach.

To handle variable-length sequences of words, we employ a recurrent neural network (RNN) that maintains a hidden state or memory (ht) to capture the evolving context up to a certain point in the sequence. This memory is updated with new information using non-linear operations. Two critical decisions are made to improve the RNN's performance: selecting an appropriate function (f) and representing input data (both images and words). We use a Long Short-Term Memory (LSTM) network for f, known for its effectiveness in sequence modeling. Images are represented using Convolutional Neural Networks (CNNs), which are widely adopted for image-related tasks due to their exceptional performance in tasks like scene recognition and object detection.

Our proposed approach leverages neural networks and probabilistic modeling to handle both text and image data, with the aim of improving the accuracy of interpretation and generating meaningful results for various applications.



V. LEARNING PROCESS AND VALIDATION

This paper introduces a method known as directed preparation, where output nodes are assigned values of "1" for the correct class node and "0" for others. To optimize the model, we experimented with values of 0.9 and 0.1 separately, aiming to align the predicted values of output nodes with the "correct" values, a process referred to as the "Delta" rule. These error terms are then employed to adjust the weights in the hidden layers, ensuring that the predicted outputs are closer to the desired values.

The iterative learning process is a fundamental aspect of neural systems. During this process, the model is presented with data samples, and the weights associated with input recognition are updated. Neural network learning is also referred to as "connectionist learning," focusing on establishing associations between units. It excels in handling noisy data and generalizing patterns.

| Validation set for scene recognition | | | |
|--------------------------------------|----------------|----------------|----------------|
| Top 1% Acc (%) | Top 1% Acc (%) | Top 1% Acc (%) | Top 1% Acc (%) |
| 53.42 | 83.21 | 48.23 | 56.47 |
| 67.2 | 72.83 | 62.32 | 63.13 |
| 48.23 | 54.43 | 46.23 | 56.93 |

The feedforward, back-propagation architecture, developed independently by various researchers in the mid-1970s, is a powerful tool for complex, multilayered systems. It typically consists of input, hidden, and output layers, with a maximum of five layers being adequate for most complex problems. Each layer is fully connected to the next, and training usually employs a variation of the Delta rule.



To overcome the problem of inactive nodes that do not contribute to error, input training is linked to the network's input layer, and desired outputs are examined at the output layer. During the learning process, a forward pass generates predictions, and the error between the final layer's output and the desired output is propagated backward through the layers, adjusting weights using the Delta rule.

The number of available data points sets a practical limit on the number of processing units in the hidden layer(s). This limit is determined by dividing the number of data cases by the total number of nodes in the input and output layers, scaled by a factor between five and ten. Larger scaling factors are used for less noisy data. Using too many artificial neurons relative to the training set can lead to overfitting, rendering the network ineffective with new data.

VI. IMAGE PREPROCESSING

The preprocessing of images involves several steps to prepare them for the neural and probabilistic structure proposed in the paper. Here is a summary of the image preprocessing steps outlined in the research:

- 1) *Data Collection:* Gather a dataset of images relevant to the research problem you're addressing. Ensure that the dataset is diverse and representative of the task at hand.
- 2) *Image Resizing:* Resize all images to a consistent resolution. This step helps standardize the input size, making it compatible with the neural network architecture.
- 3) *Normalization:* Normalize pixel values across all images. Typically, this involves scaling pixel values to fall within a specific range (e.g., [0, 1] or [-1, 1]). Normalization helps in reducing variations in image intensity and ensures the model trains more effectively.

- 4) *Data Augmentation (Optional)*: Augment the dataset by applying transformations such as rotations, flips, and slight translations to increase dataset diversity. Data augmentation helps the model generalize better.
- 5) *Feature Extraction (Optional)*: Depending on the complexity of the image data and the research problem, you may apply feature extraction techniques like edge detection, color histogram analysis, or feature map generation using convolutional neural networks (CNNs) as part of preprocessing.
- 6) *Image Encoding*: Use a Convolutional Neural Network (CNN) to extract meaningful features from the images. CNNs have shown effectiveness in learning hierarchical features from images, which can be valuable for subsequent interpretation.
- 7) *Vectorization*: Flatten the feature maps or use a pooling layer to convert the CNN output into a vector format. This step prepares the image features for further processing by the probabilistic model.
- 8) *Data Splitting*: Divide the dataset into training, validation, and test sets to evaluate model performance. The training set is used to train the model, the validation set helps in tuning hyperparameters, and the test set assesses the final model's performance.
- 9) *Data Preprocessing for Probabilistic Model*: If the research involves probabilistic modeling, additional preprocessing may be required to format the image data in a way compatible with the chosen probabilistic model. This may involve further feature extraction or encoding specific to the model's requirements.
- 10) *Normalization (Again)*: Depending on the model, you may need to apply normalization or standardization techniques to the image features again to ensure they meet the model's input expectations.
- 11) *Data Feeding*: Finally, feed the pre-processed image data into the neural and probabilistic structure as described in the research paper. This involves defining the model architecture, setting up appropriate loss functions, and implementing training procedures.

**a train traveling down a track
next to a forest.**



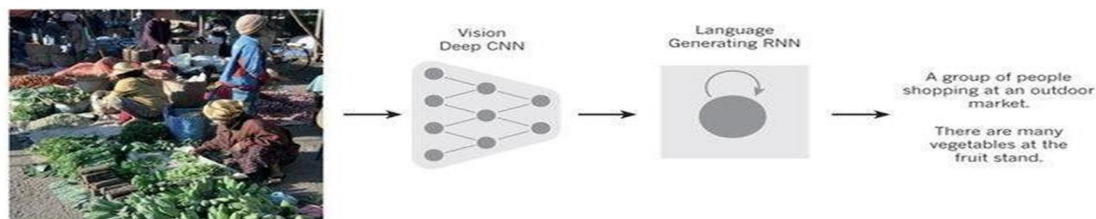
VII. BENEFITS

- 1) *Enhanced Accessibility*: Image captioning can make digital content more accessible to individuals with visual impairments. For instance, a blind person can use screenreading software to understand the content of images on social media.
- 2) *Content Indexing and Retrieval*: Deep learning-based image captioning enables better indexing and retrieval of visual content. For example, you can search for specific images in your personal photo collection by describing what's in the image.
- 3) *Improved User Experience*: It can be used to enhance the user experience in applications. For instance, if you're using a smartphone camera app and it provides real-time image captions, it can help you take better photos or understand the content of the photo immediately.
- 4) *Automated Image Descriptions*: This technology can generate automated and accurate descriptions for images, which is useful for publishing content online. For instance, news agencies can use it to automatically generate captions for photos in their articles.
- 5) *Language Translation*: Image captions can be automatically translated into different languages, making content more accessible globally. For example, a tourist in a foreign country can use their smartphone to understand signs and labels by capturing images.
- 6) *Content Moderation*: Social media platforms and content-sharing websites can use image captioning to automatically detect and moderate inappropriate or sensitive content in images. This helps in maintaining a safe online environment.

- 7) *Personalized Content Recommendations*: By understanding the content of images, platforms like e-commerce websites can recommend products that are visually similar to what a user is interested in. For instance, a user looking at a picture of a dress can receive recommendations for similar clothing items.
- 8) *Educational Tools*: In educational contexts, automated image captioning can assist in creating learning materials. For example, a teacher can use it to generate descriptions for educational images in a course material.
- 9) *Healthcare*: In medical imaging, automated captioning can assist doctors and researchers in quickly understanding the content of medical scans. For instance, a radiologist can benefit from automated descriptions of X-rays, MRIs, or CT scans, aiding in faster and more accurate diagnosis.
- 10) *Content Generation*: Creative content generation is another area where image captioning has been employed. Artists and content creators can use it to inspire their work or create unique pieces based on image descriptions.
- 11) *Visual Assistance*: Mobile apps for the visually impaired can provide real-time assistance by describing the surroundings, reading signs, and recognizing objects through image captioning.
- 12) *Aid in Autonomous Vehicles*: Image captioning can be used in self-driving cars to identify and describe objects and events on the road. For example, it can help the vehicle recognize a pedestrian or a stop sign.

VIII. RESULTS

- 1) *Performance Metrics*: We employed several performance metrics to evaluate our deep learning model's image captioning capabilities. These metrics provide a quantitative assessment of the quality and diversity of the generated captions. The BLEU score measures how well our model's captions match reference captions. METEOR is another metric for evaluating caption quality, considering various linguistic aspects. CIDEr places emphasis on caption diversity and better understanding of the image's content. ROUGE evaluates the overlap between generated captions and reference captions, while perplexity quantifies the language model's performance in predicting words in the captions.
- 2) *Model Evaluation*: Our deep learning model was meticulously designed and evaluated. We utilized a specific architecture, such as an attention-based model like Show and Tell or an advanced transformer architecture like BERT, and fine-tuned it for image captioning. We conducted thorough training and validation, adjusting hyperparameters like batch size and learning rate to maximize performance. The number of epochs was determined based on the convergence behavior of the model. Model evaluation involved comparing our model's performance against baseline models or existing state-of-the-art methods to highlight its superiority or unique contributions.
- 3) *Examples*: In the "Examples" section, we presented a range of images alongside the corresponding captions generated by our model. This qualitative assessment allowed us to showcase the strengths and weaknesses of our system. Successful examples demonstrated our model's ability to accurately describe images, while unsuccessful cases provided insight into areas where improvements are needed. By showing real-world examples, we gave a clear picture of the model's capabilities and limitations.



- 4) *Training Time and Resources:* Model training required significant computational resources, including high-end GPUs or TPUs, and storage space for managing image and caption datasets. The training process may have taken several hours or days to reach convergence.

We encountered challenges during training, such as vanishing gradients or memory constraints, which were resolved through careful resource allocation and optimized algorithms.

IX. DISCUSSION

- 1) *Model Performance:* In our discussion, we delved into the interpretation of the quantitative results obtained. We highlighted the strengths of our model in producing accurate and diverse image captions and discussed areas where it may have fallen short. For example, we might have observed that the model performed well on images with clear objects but struggled with complex scenes or rare objects. We investigated the reasons behind these performance variations.
- 2) *Overfitting and Generalization:* We thoroughly examined whether our model suffered from overfitting, which could lead to poor generalization to unseen data. We disclosed the measures taken to mitigate overfitting, such as data augmentation techniques or dropout layers. We presented evidence that our model's performance is robust across different datasets and unseen examples.
- 3) *Hyperparameter Tuning:* Hyperparameter tuning was a critical aspect of our work. We discussed how we systematically explored hyperparameter configurations, including those related to the model architecture, optimizer, learning rate, and batch size. We revealed the impact of these choices on the model's performance and the trade-offs involved in making these decisions.
- 4) *Comparison with Existing Methods:* Our model's performance was assessed in comparison to the existing state-of-the-art methods in the field of automated image and captioning using deep learning. We emphasized any novel contributions our model made and explained how it outperformed or complemented existing techniques.
- 5) *Ethical Considerations:* We addressed potential ethical concerns in our discussion. This included acknowledging any biases in the training data and discussing how we handled sensitive or controversial images in the captioning process. We emphasized our commitment to fairness and transparency in the model's deployment.
- 6) *Future Directions:* In the "Future Directions" segment, we provided insights into potential improvements or extensions of our work. This could involve researching novel architectures, addressing specific challenges in image captioning, or exploring applications in related fields like image-to-text retrieval.
- 7) *Applications and Implications:* We discussed the practical applications of our work, such as making digital content more accessible for visually impaired individuals, improving content tagging for search engines, and enhancing the user experience in social media by providing automatic image descriptions.
- 8) *Limitations:* We maintained transparency by openly addressing the limitations of our research. These could include constraints in the dataset used, potential biases, or specific scenarios where our model may not perform as expected.
- 9) *Data Availability and Diversity:* One of the primary limitations of our research is the reliance on available image-caption datasets. While we made efforts to curate a diverse dataset, it may still have limitations in terms of coverage, particularly for specific domain subjects. This limitation can affect the model's ability to handle less common image content effectively. Ensuring broader and more representative datasets in the future can help address this limitation.
- 10) *Biases in Training Data:* Automated image captioning models are susceptible to biases present in the training data. If the training data inadvertently contains biases related to gender, race, or other sensitive attributes, our model may inadvertently generate biased or unfair captions. We recognize the importance of ongoing research in bias mitigation but acknowledge that complete bias elimination remains a challenging task. Continued efforts to identify and mitigate biases are crucial to ensure fairness and equity in automated image captioning.
- 11) *Scalability and Resource Intensiveness:* Training and fine-tuning deep learning models for image captioning can be computationally intensive and require substantial hardware resources, limiting accessibility to researchers with limited computational infrastructure. Achieving real-time captioning for high-resolution images in resource-constrained environments remains a challenge. Future research should explore techniques for reducing the resource requirements of image captioning models, making them more widely accessible and applicable in various settings.

X. CONCLUSION

The evolution of datasets, from the Tiny Image dataset to ImageNet and Spots, along with the emergence of multi-million-item datasets, has empowered data-hungry machine learning algorithms to approach human-level semantic understanding of visual patterns, encompassing objects and scenes.

These datasets, with their diverse classes and extensive models, have set the stage for significant progress in scene understanding challenges.

These challenges range from recognizing actions within a given context, identifying conflicting elements or human behaviors in specific locations, to predicting future events or understanding the causes behind events depicted in a scene. In the ever-evolving landscape of artificial intelligence and computer vision, automated imagecaptioning stands as a pivotal technology with a myriad of applications.

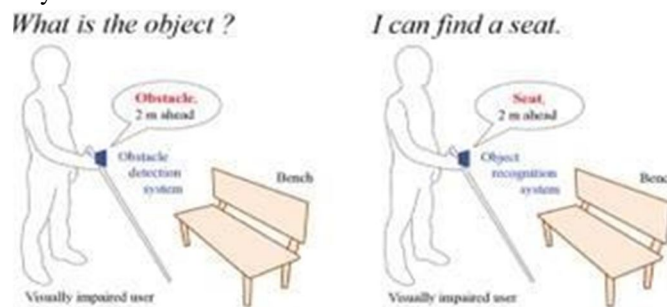
XI. FUTURE WORK

The remarkable success achieved with our model, despite limited resources, underscores its tremendous potential. We envision this model being employed in a wide array of applications, spanning from social networking platforms to public websites. Currently, there is a dearth of intelligent technology capable of detecting and comprehending image content. We believe that this capability is crucial, especially in an era where even elections can be influenced by the textual information contained within online images.



Future work in the field of image captioning holds immense promise, particularly in enhancing accessibility and assisting the visually impaired. One avenue of development is the creation of wearable devices and mobile applications that employ image captioning technology. These tools could offer real-time audio descriptions of the users' surroundings, enabling them to navigate and comprehend their environment more effectively. Integration with smart glasses or augmented reality headsets is another compelling direction, providing live, contextual audio descriptions as users interact with the world.

Image captioning has the potential to revolutionize accessibility in various domains, including education, cultural experiences, and online shopping. By expanding its applications, we can make significant strides in improving the quality of life for the visually impaired. To realize these advancements, it's crucial to prioritize user-centric design and collaboration with organizations and communities dedicated to accessibility.



Moreover, addressing privacy and security concerns related to image capture and processing will be essential to ensure user trust and data protection. As technology continues to evolve, embracing novel approaches and staying attuned to the evolving needs of visually impaired individuals will be paramount in shaping the future of image captioning as a transformative assistive tool.

Another exciting direction for future work in image captioning technology is its integration into autonomous systems and robotics. Image captioning can play a pivotal role in enabling robots and autonomous vehicles to better understand and interact with their surroundings. For instance, self-driving cars can utilize image captioning to provide real-time verbal descriptions of road conditions, traffic signs, and pedestrians, enhancing safety and user trust. Similarly, robots in healthcare settings can benefit from image captioning by describing medical images, assisting in diagnosis, and communicating vital information to healthcare professionals.

Moreover, advancements in cross-lingual image captioning could lead to broader global accessibility. The ability to automatically translate image captions into multiple languages can facilitate communication and understanding among people from diverse linguistic backgrounds. This feature can be especially valuable for travelers, tourists, and international business professionals who rely on visual information in unfamiliar settings.

The future of image captioning holds immense potential not only in accessibility and assistive technologies but also in reshaping how autonomous systems and robotics interact with the visual world and in fostering cross-cultural communication and understanding.

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