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# Facial Sentimental Analysis in Hospitals

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**Abstract:** *In today's rapidly evolving healthcare landscape, the focus on patient satisfaction and well-being has become crucial. A positive experience plays an important role in aiding the recovery of the patient. A significant factor contributing to a positive patient experience is the quality care and the emotional support they receive during their hospital stay. Traditional methods of measuring patient satisfaction often rely on surveys and feedback forms, which can be subjective, time-consuming, and potentially biased. To address these limitations, this abstract proposes a novel approach: the integration of facial sentiment analysis as a real-time tool for assessing patient emotions and satisfaction levels. This abstract introduces an approach to enhance patient satisfaction and well-being in healthcare settings. By integrating facial sentiment analysis, we propose a real-time method to objectively evaluate patient emotions and treatment satisfaction. Through advanced computer vision, this approach detects and interprets facial expressions of patients in hospitals, offering insights into care effectiveness, dedication of hospital staff, and efficiency of the treatment. The system generates a "Happiness and Satisfaction Index," aiding healthcare stakeholders in making informed improvements as well and it works as a review metric for the customers who would like to visit their hospital.*

**Keywords:** *Facial Sentiment Recognition, CNN, Deep Learning, Satisfaction Index, Hospital welfare.*

## I. INTRODUCTION

Facial expressions are indicators of emotions, providing insight into a person's feelings. They serve as a tangible means of discerning honesty, as they convey emotions without verbal communication. Emotions are conveyed through various channels such as voice, hand movements, body language, and prominently, facial expressions. These expressions play an important role in interpersonal communication, transmitting nonverbal cues that influence relationships. Automatic recognition of our facial expressions is crucial for natural human-machine interactions and behavioral studies. While humans effortlessly recognize facial expressions, machines struggle with expression recognition. Despite advancements in face detection, feature extraction mechanisms, and classification techniques, developing automated systems for this task remains challenging. Mostly two approaches are commonly used in facial expression detection: explicit classification, which separates expressions, and the use of extracted facial features to aid in recognition. The Facial Action Coding System (FACS) utilizes action units as markers for facial expression analysis.

Our facial expressions can express emotions more effectively in situations where words may fall short, such as moments of shock or surprise. Furthermore, detecting deception through spoken language is generally more challenging than recognizing fake expressions. Non-verbal forms of communication, including facial expressions, account for approximately 66% of all communication. This highlights the importance of studying, utilizing, and analyzing facial expressions. Analyzing facial expressions can be applied to various scenarios, such as a system that detects a driver's drowsiness in a car, triggering an alarm and stopping the vehicle if drowsiness is detected.

## II. DATASETS

We explored five datasets suitable for training our models, each offering unique characteristics:

- 1) **FER 2013:** This dataset, originating from the ICML 2013 Workshop on Challenges in Representation Learning, comprises 35,887 48x48 images with six basic emotion categories: Anger, Disgust, Fear, Happiness, Neutral, Sadness. It relies on images sourced through the Google image search API.
- 2) **AFEW:** Unlike many facial expression datasets generated in controlled lab settings, AFEW features short video clips capturing spontaneous facial expressions from movies. It includes the same seven basic expressions as FER-2013 but also reflects natural head poses, a diverse range of races and genders, and often includes multiple individuals in a single clip. The dataset encompasses both indoor and outdoor scenes, filmed during various lighting conditions, offering a broad spectrum of expressions for algorithm training and generalization.

### III. RELATED WORK

- 1) AlexNet, developed by Alex et al., revolutionized the field of Image Classification by winning the ImageNet ILSVRC-2012 competition with a top-5 error rate of 15.3%. This Deep CNN (DCNN) was trained on the ImageNet ILSVRC training Dataset and boasts 60 million parameters. The architecture of AlexNet includes 5 Convolutional Layers along with 3 fully connected Dense layers. Rectified Linear Units follow the Convolutional Layers to introduce non-linearity, while Max Pooling Layers help with reducing the number of parameters and combat overfitting. The fully connected Dense layers are supplemented by dropout layers, where neurons are randomly set to zero with a dropout coefficient of 0.5. This technique reduces overfit and the adaptation of neurons. Additionally, AlexNet employs data augmentation to artificially expand the dataset, further enhancing its performance in image classification tasks.
- 2) Xception - Xception is a CNN architecture that stands out for its depth and efficiency. Introduced by François Chollet in 2017, Xception takes the concept of depth-wise separable convolutions to the next level, offering a highly efficient alternative to traditional convolutional layers. Unlike traditional convolutional layers, which apply a single filter to the entire input volume, Xception's depth-wise separable convolutions split the process into two stages: a depth-wise convolution, which applies a single filter to each input channel separately, followed by a point-wise convolution, which combines the output of the depth-wise convolution using 1x1 filters. This separation of spatial and channel-wise operations allows Xception to reduce the number of parameters and computational costs significantly compared to other architectures, such as ResNet or VGGNet, while maintaining competitive performance. This efficiency makes Xception particularly well-suited for applications with limited computational resources, such as mobile and embedded devices.

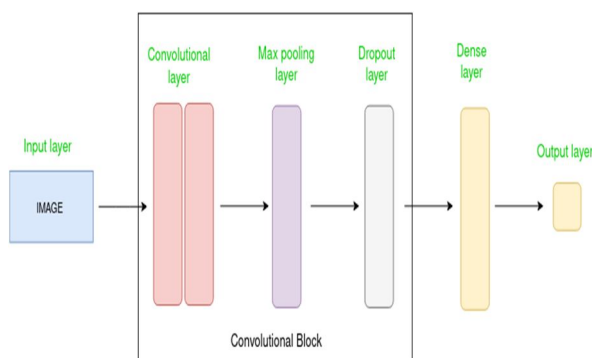


Fig. 1. Convolutional Block

- 3) ResNet, with its 152 layers, is significantly deeper than VGGNet, being 8 times deeper. It achieved a remarkable top-5 error rate of 3.57% on the ImageNet Dataset. The researchers observed that as they increased the number of layers by adding Convolutional Layers, the accuracy did not decrease but instead plateaued. This phenomenon occurred because the network became too complex, making it challenging to optimize using backpropagation. To address this issue, the authors have introduced ResNet Blocks, as illustrated in the figure below. These blocks utilize a shortcut connection, represented by  $H(x) = F(x) + x$ , where an identity function is added to the input. This shortcut aids in optimizing the network by providing a direct path for the gradient to flow through, allowing the addition of numerous layers without hindering performance. This capability enables the network to learn intricate features, making it highly effective in tasks such as Object Detection and Image Segmentation, where complex features are crucial.

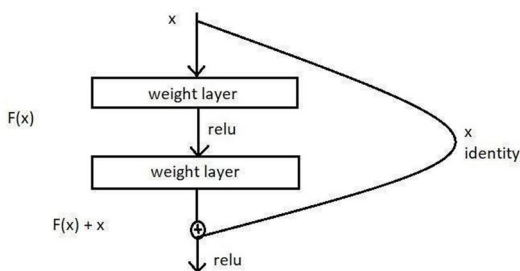


Fig. 2. ResNet layer

#### IV. DEEP LEARNING

In the Zhiding[16] study, the authors began by identifying faces in the images, which were sourced from labeled movie images. Due to significant background noise, detecting the face was prioritized before employing a Deep Convolutional Neural Network (CNN) to determine the emotion. To achieve this, an ensemble approach was employed, combining multiple face recognition algorithms. This ensemble included a joint cascade detect and alignment (JDA) detector, a Deep-CNN-based detector, and Mixture of Trees (MoT). The network was initially pretrained on the FER dataset and then fine-tuned using the SFEW dataset.

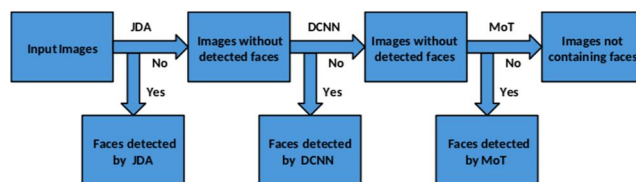


Fig. 3. Detecting faces in an image [20]

The CNN architecture utilized for emotion detection, depicted in Figure 8, operates on grayscale images with dimensions of 48x48 pixels. It comprises five of the convolutional layers along with the three stochastic pooling layers, and three fully connected Dense layers.

In contrast to traditional max-pooling layers, stochastic pooling is employed due to its superior accuracy in scenarios with limited training data. While max pooling selects the maximum value within a region, stochastic pooling introduces randomness by probabilistically selecting values based on a normalized probability distribution. This randomization enhances the network's performance by introducing variability. Furthermore, the fully connected layers incorporate dropout, a technique that introduces statistical randomness by randomly dropping a fraction of connections during training. This randomization aids in the network's generalization capabilities and mitigate overfitting the training data. Random data augmentation to input faces is used to generate additional training samples and improves model performance.

In Octavio et al's[16] work, a convolutional neural network (CNN) was implemented in real-time on a robot platform with limited hardware capabilities to classify emotions and gender. Two CNN models were proposed, focusing on achieving a balance between accuracy and model size.

Our first model is a fully convolutional neural network without any fully connected layers. It comprises 9 convolutional layers, utilizes ReLU activation functions, employs Batch Normalization to expedite learning, and incorporates Global Max Pooling before the application of softmax layer. This model contains an estimated 600,000 parameters. Omitting fully connected Dense layers helps avoid the parameter-heavy nature of such layers, thereby keeping the model size in check. The final convolutional layer replaces the fully connected Dense layers, ensuring the same number of feature maps as classes. An average pooling layer further reduces parameters, followed by a softmax layer for output prediction.

Our second model is based on the Xception architecture, which uses residual modules along with depth-wise separable convolutions. Depth-wise separable convolutions consist of depth-wise convolutions and point-wise convolutions. Depth-wise convolutions apply a  $D \times D$  filter to each input channel individually, while point-wise convolutions use a  $1 \times 1$  convolution to reduce the no. of channels from  $M$  to  $N$  ( $M > N$ ), reducing the overall no. of parameters. This model also utilizes four residual depth-wise separable convolutions, along with batch normalization & ReLU activation functions. The models achieved an accuracy of 96% on the IMDB gender dataset and 66% on the FER-2013 emotion dataset. The authors utilized guided-gradient backpropagation, as proposed by Springenberg, to visualize the features learned by CNN.

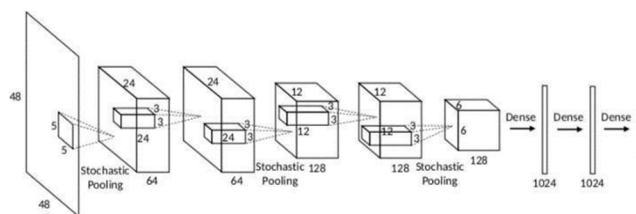


Fig. 4. Deep CNN architecture



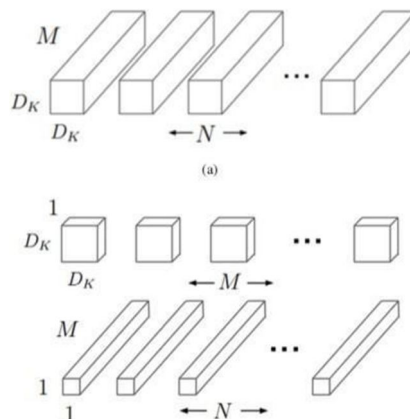


Fig. 5. Depth-wise convolution

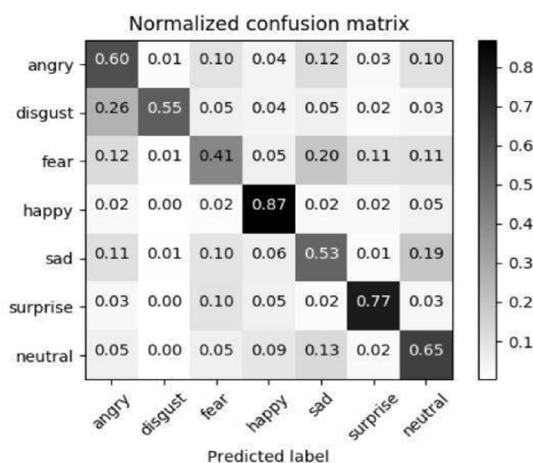


Fig. 6. Results &amp; Analysis.

## V. CONCLUSION

We have discussed the data collection and computational methods employed in Facial Emotion Recognition. It's advisable to source images from real-life settings to ensure that they adequately represent the environment, facilitating effective algorithm deployment. Acquiring additional training instances, particularly for less common emotions such as Disgust, is essential. Convolutional Neural Networks (CNNs) demonstrate superior performance compared to traditional Machine Learning techniques like Support Vector Machines (SVM) and other algorithms. A recommended approach involves initially detecting faces and subsequently training a CNN on the resulting output, yielding satisfactory results.

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