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# Identifying and Analyzing the Key Drivers and challenges of Environmental and Socio-Economic Externalities Caused by Municipal Solid Waste Management in Delhi

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Abstract: Municipal Solid Waste (MSW) management is one of the most serious urban problems in Delhi, where intense population growth, urbanization, and shifting patterns of consumption have largely exceeded the city's waste managing capacity. The study seeks to determine and examine the major drivers and challenges pertaining to the environmental and socio-economic externalities arising from poor MSW management in Delhi. Through an analysis of a variety of factors—such as poor waste segregation at source, excessive dependence on landfills, poor institutional coordination, and the informal waste sector's marginalized position—this research gives a complete picture of the systemic problems behind waste-related externalities. Environmental effects like groundwater pollution, air pollution, and greenhouse gas emissions are evaluated together with socio-economic impacts like public health hazards, livelihood insecurity for informal workers, and spatial disparities. The study uses a mixed-methods design, including field surveys, stakeholder interviews, and spatial data analysis to construct a holistic framework of waste management dynamics. The results emphasize the imperative necessity for integrated policy reforms, improved community engagement, and scalable infrastructure solutions to avert the cascading impacts of suboptimal MSW practices. This research adds to the general debate on sustainable urban development by providing practical recommendations to policymakers, waste management agencies, and civil society organizations

Keywords: Solid waste management, Municipal Solid Waste (MSW), Urban Governance, Sustainable Waste Practices, Environmental Justice.

### I. INTRODUCTION

High urbanization and rapid population growth have aggravated the challenges of urban municipal solid waste management in cities around the globe. Delhi, capital city of India and one of the world's most densely populated metropolitan areas, generates thousands of tons of municipal solid waste (MSW) on a daily basis. Poor infrastructure and administration coupled with large volumes have raised significant environmental as well as socio-economic externalities. These externalities, ranging from air and water contamination to poor health impacts and declining urban beauty, are not borne by the generators of wastes but are unevenly borne by poor communities as well as society at large. Management of municipal solid waste (MSW) is a critical concern in the frame of urban sustainability, particularly with regard to dense cities such as Delhi. With a rising population and rapid urbanization, Delhi is increasingly faced with the challenges of managing its waste stream in an appropriate manner, thus giving rise to numerous environmental, health, and socio-economic externalities. Determining the drivers behind such externalities becomes imperative so that informed policies and strategies could be framed for addressing the very causes. This study examines the major determinants of such externalities emanating from MSW in Delhi. It seeks to probe systemic problems ranging from insufficient waste segregation to inadequate public sensitization, policy loopholes, unorganized informal sector participation, and weak enforcement of prevailing waste management systems. Through the identification and examination of these drivers, the paper seeks to advance a more informed understanding of the mechanisms by which MSW generates adverse externalities and provides avenues for sustainable and inclusive waste management in the city. This research effort in highlighting the underlying causes of MSW-related issues and suggests the use of integrated strategies to attain sustainable waste management results. This paper will add to the urban waste management discourse and guide decision-making towards the development of resilient and liveable cities.



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Delhi, being one of the largest agglomerations globally, is an excellent example of the complex nexus that exists among waste management, consumption patterns, and urbanisation. As the city expands in terms of demographics and economy, its waste generation increases, testing the current infrastructure and aggravating environmental spillovers. The path of MSW in Delhi, from generation to disposal, runs through a maze of socio-economic, cultural, and institutional realms, each playing their role in the complex web of externalities. This paper takes an in-depth look at the determinants of externalities in municipal solid waste management in Delhi.

Knowledge of these drivers is vital to the design of interventions that not only reduce environmental harm but also support equity and resilience in urban waste management systems. As Delhi remains to struggle with the twin challenges of urban growth and environmental pollution, tackling the underlying causes of waste externalities becomes an urgent policy priority. In examining these drivers, this paper aims to contribute useful insights into the intricacies of waste management in Delhi and set the stage for evidence-based decision-making and policy-making. In order to encourage sustainable urban growth, enhance environmental quality, and promote the well-being of Delhi's citizens in the future, it is important to mitigate the determinants of MSW externalitie

### II. LITERATURE REVIEW

Municipal Solid Waste (MSW) management has become a critical area of urban environmental research, particularly in developing countries where rapid urbanization outpaces infrastructure development. Delhi, as one of India's largest urban agglomerations, presents a compelling case for understanding how solid waste contributes to various externalities, and what factors drive these unintended consequences.

The sustainability of the urban environment may be threatened by the quick changes in the lifestyles of people who, for the most part, live in cities throughout the world. According to the study of (Hoornweg & Bhada-Tata, 2012) urban regions worldwide produced 1.3 billion tons of trash annually in2012, and by 2025, this amount is predicted too terrible. As per the report of Ministry of Health and Family welfare ,2021) MSW management practices, including improper disposal and inadequate treatment, contribute to environmental degradation, pollution, and public health risks. The release of greenhouse gases from landfills and incineration facilities exacerbates climate change impacts. Effective governance structures, institutional capacities, and stakeholder engagement mechanisms are essential for ensuring compliance and fostering innovation. Policy and regulatory frameworks play a pivotal role in proving wastemanagement practices. Previous studies suggested that , there is a positive relation between per capital solid waste generation rates and income levels.

Delhi Pollution Control Committee define that advance in waste treatment technologies, such as composting, anaerobic digestion, and waste-to-energy systems, offer promising solutions for mitigating MSW-related externalities. One of the main environmental issue in Indian cities is inappropriate disposal of municipal solid waste and irregular collection of waste by municipal authority. The literature on municipal solid waste (MSW) management provides valuable insights into the complex dynamics surrounding waste generation, collection, treatment, and disposal, as well as the externalities associated with these processes. Studies conducted globally and withinsimilar urban contexts offer a rich understanding of the drivers behind MSW-related externalities, providing a foundation for examining the case of Delhi.

### A. Conceptualizing Externalities in Solid Waste Management

The concept of externalities—the costs or advantages suffered by others not a part of a given transaction—has been extensively applied to environmental economics (Helbling,2010; Libecap,2014). With respect to MSW, these negative externalities tend to find expression in terms of environmental pollution, public health concerns, and social injustice (Massarutto, 2015). Research indicates that unsustainable disposal of waste causes water and air pollution, release of greenhouse gases, and land degradation, primarily in urban fringes (Ahmed et al., 2015; Mahmood et al., 2018).

### B. Waste Generation and Urbanization in Delhi

Delhi produces more than 11,000 metric tons of solid waste per day (DPCC, 2020), and the number increases because of population growth and changing consumption patterns (Census 2011; MoHUA, 2019). Studies have associated urban growth and rising income levels with a transition towards more non-biodegradable and packaged goods, leading to increased waste generation and more complex disposal problems (Mazhandu et al., 2020). Here is a table 1 illustrating ranges of average Delhi waste generation (kg/capita/day).



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Table 1: Ranges of average Delhi waste generation (kg/capita/day)

| Category                 | Waste Generation (kg/capita/day) | Remarks                           |
|--------------------------|----------------------------------|-----------------------------------|
| Low-income areas         | 0.25 – 0.40                      | Lower consumption, fewer          |
|                          |                                  | disposables                       |
| Middle-income areas      | 0.40 – 0.60                      | Mix of organic and inorganic      |
|                          |                                  | waste                             |
| High-income/urban areas  | 0.60 - 0.85                      | Higher packaging, plastic, and e- |
|                          |                                  | waste                             |
| Citywide average (Delhi) | 0.50 - 0.65                      | Approximate average across all    |
|                          |                                  | zones                             |
| National average (India) | 0.45 – 0.50                      | For comparison with overall       |
|                          |                                  | country data                      |

### C. Governance and Policy Failures

A common thread in literature is inefficiency in waste management systems in Delhi. Research has identified fragmented institutional accountability among municipal authorities, inadequate coordination, and weakly funded waste infrastructure as major drivers of undesirable outcomes (Aparcana, 2017; Breukelman et al., 2019). In spite of efforts such as the Solid Waste Management Rules (2016), enforcement is weak, particularly of waste segregation at source and extended producer responsibility (TERI, 2017).

### D. Informal Sector and Social Impacts

The informal sector, including ragpickers and waste vendors, is a substantive but underrated part of the waste system in Delhi. Research points out the way these workers, normally from poor groups, become subject to health risks owing to unsafe working conditions and a lack of social protection (Krieger, 2010; Baron et al.,2014). Even though they mitigate landfill pressure by recycling waste, their omission from formal policy systems is responsible for systemic inefficacy and social injustice.

### E. Environmental and Public Health Externalities

The environmental effects of MSW in Delhi are widely reported. Open dumping, landfill fire incidence, and leachate seepage are responsible for air, soil, and water pollution (CPCB, 2018). These directly affect health, especially among vulnerable groups residing at or near dumping locations like Ghazipur and Bhalswa. Research has documented higher rates of respiratory diseases, vector-borne diseases, and even psychiatric stress in such locations (Ligsay et al.,2021; Chala & Hamde, 2021). Here Table 2 illustrates environmental and public health effect owing to generation of waste.

Table 2: Environmental and public health impact

| Category                 | Source/Activity   | Type of Externality | Environmental<br>Impact  | Public Health Impact                          |
|--------------------------|---|---------------------|--|---|
| Air Pollution            | Burning of municipal and plastic waste                  | Negative            | Emission of dioxins,<br>furans, and<br>particulate matter<br>(PM2.5, PM10) | Respiratory diseases, asthma, cancer risks    |
| Water Pollution          | Leachate from landfills                                 | Negative            | Contamination of surface and groundwater                                   | Waterborne diseases,<br>skin disorders        |
| Soil Contamination       | Dumping of<br>hazardous and e-<br>waste                 | Negative            | Heavy metal accumulation, loss of soil fertility                           | Bioaccumulation,<br>neurological<br>disorders |
| Vector-Borne<br>Diseases | Unmanaged organic waste                                 | Negative            | Breeding of flies,<br>mosquitoes, rodents                                  | Dengue, malaria, leptospirosis                |
| Occupational<br>Hazards  | Informal sector<br>handling waste<br>without protection | Negative            | N/A  | Injuries, infections, long-term health issues |
| Noise and Aesthetic      | Waste collection at                                     | Negative            | Noise disturbance,   | Mental stress,                                |



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| Pollution                   | odd hours, littering                                   |          | urban blight  | reduced quality of life                                |
|-----------------------------|--|----------|---|--|
| GHG Emissions               | Decomposition of organic waste in anaerobic conditions | Negative | Methane release,<br>contributing to<br>climate change | Indirect health risks<br>via global warming<br>effects |
| Positive Reuse<br>Practices | Composting,<br>recycling, waste-to-<br>energy          | Positive | Reduced<br>environmental<br>footprint                 | Improved sanitation, fewer health risks                |

### F. Behavioral and Socioeconomic Drivers

Consumer behavior, public awareness, and socioeconomic status are also identified as important drivers. Households with higher awareness and education levels are more likely to practice waste segregation and recycling (Singh & Pandey, 2018). However, lack of incentives and logistical support often demotivate such behavior, especially in low-income neighborhoods. While the existing literature provides valuable insights into the impacts and governance of MSW in Delhi, limited attention has been given to a comprehensive, multi-scalar analysis of the *drivers* behind these externalities. Most studies treat externalities as outcomes rather than exploring the root causes embedded in policy design, urban infrastructure, economic behavior, and social systems. This research seeks to fill that gap by systematically identifying and analyzing the interconnected drivers of negative externalities via MSW in Delhi, thereby contributing to more holistic urban sustainability strategies.

### III. CHALLENGES OF EXTERNALITIES VIA MUNICIPAL SOLID WASTE IN DELHI

Municipal Solid Waste (MSW) management has emerged as a major urban challenge in India, particularly in megacities like Delhi. The externalities—unintended side effects—produced by inadequate waste management are wide-ranging and complex. These include environmental pollution, public health risks, economic costs, and social inequalities. This literature review synthesizes academic and institutional findings on the core challenges associated with these externalities in Delhi's context.

### A. Environmental Degradation from Improper Disposal

One of the primary challenges highlighted in the literature is the environmental impact of unscientific waste disposal. According to the Central Pollution Control Board (CPCB, 2018), over 80% of Delhi's waste is disposed of in overburdened landfills like Ghazipur, Bhalswa, and Okhla, which frequently exceed their capacity and violate environmental norms. These sites are major sources of:Methane emissions contributing to climate change (Gupta et al., 2016) Groundwater contamination from leachate Air pollution due to spontaneous landfill fires (Singh et al., 2011). Such environmental externalities are rarely internalized in municipal planning or waste management budgets.

### B. Public Health Implications

Numerous studies point to severe public health consequences for communities living near waste dumping sites. Residents suffer from respiratory illnesses, skin infections, gastrointestinal disorders, and mental health issues due to exposure to hazardous substances and pollutants (Brender et al., 2011; Ayanbimpe et al., 2012). The World Health Organization (WHO, 2017) links proximity to unmanaged waste with increased incidence of vector-borne diseases like dengue and malaria. The lack of healthcare infrastructure in affected zones amplifies the impact, especially for low-income groups who are least equipped to bear the burden of these health externalities.

### C. Socioeconomic Inequity and Marginalization

A critical challenge is the disproportionate impact of waste-related externalities on marginalized communities. Informal waste workers—estimated at over 150,000 in Delhi—play a vital role in recycling and waste recovery but operate without formal recognition, protective equipment, or social security (Kala & Bolia, 2024; Chaturvedi & Gidwani, 2010). The literature identifies the invisibilization of this labor as both a social and economic externality that deepens urban inequality.

Communities living near landfill zones often face forced relocation, property devaluation, and political exclusion, further entrenching systemic marginalization.



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Volume 13 Issue IV Apr 2025- Available at www.ijraset.com

### D. Institutional and Governance Challenges

The governance of MSW in Delhi is characterized by fragmented responsibilities between multiple municipal corporations, leading to coordination failures and inefficiencies. Despite the introduction of the Solid Waste Management Rules (2016), implementation remains weak due to:

Lack of political will and budgetary support (TERI, 2017)

Inadequate monitoring and data management

Poor public participation mechanisms

The absence of integrated planning has resulted in reactive rather than preventive waste management strategies, making it difficult to anticipate or mitigate externalities.

### E. Behavioral and Technological Constraints

Behavioral inertia among citizens, such as poor compliance with source segregation and littering, remains a persistent barrier (Singh & Pandey, 2018). At the same time, the technological infrastructure for waste processing—such as composting, bio-methanation, and waste-to-energy plants—is either underutilized or poorly maintained, contributing to continued dependence on landfilling.Lack of incentives and weak awareness campaigns are commonly cited as reasons for the failure of community-driven waste solutions.

### THE IMPACTS OF EXTERNALITIES VIA MUNICIPAL SOLID WASTE (MSW) IN DELHI IV.

The impacts of externalities via municipal solid waste (MSW) in Delhi are widespread and affect various aspects of the environment, public health, economy, and social well-being. Someof the main implications include:

Environmental Degradation: Improper MSW management leads to environmental degradation through pollution of air, water, and soil (Mor & Ravindra, 2023). Landfills emit greenhouse gases, contributing to climate change, while leachate from landfills contaminates groundwater and surface water bodies. Open burning of waste releases toxic pollutants into the air, further exacerbating air quality issues. Here figure 1 shows Environmental Degradation due to improper management

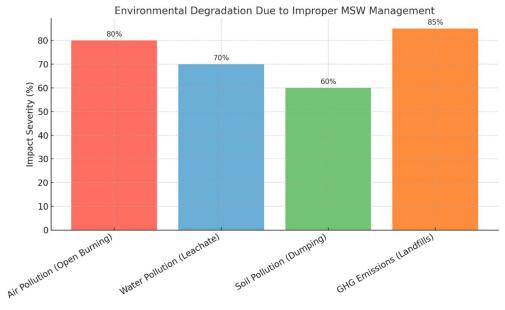


Figure 1: Environmental Degradation due to improper management

Public Health Risks: Inadequate waste management practices pose significant public healthrisks to residents of Delhi. Exposure to pollutants from waste, such as heavy metals, pathogens, and chemical toxins, can lead to respiratory illnesses, gastrointestinal diseases, and other health complications (Priyadarshanee, Mahto & Das, 2022). Informal waste workers, who often work in hazardous conditions without proper protective gear, are particularly vulnerable to health hazards. Here figure 2 shows Public health risk due to inadequate waste management in Delhi.

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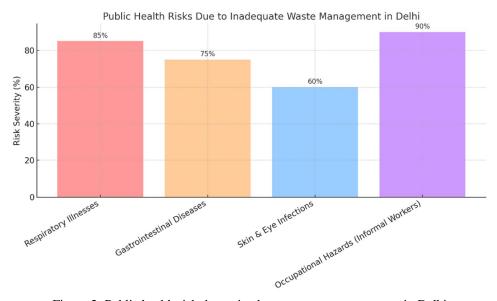


Figure 2: Public health risk due to inadequate waste management in Delhi

3) Economic Costs: The economic costs of MSW externalities in Delhi are substantial, encompassing expenses related to healthcare, environmental cleanup, and infrastructure damage (Jardosh & Kathuria, 2025). Plus, the tourism industry might suffer due to the negative perceptions associated with poor waste management practices, resulting in lost revenue and economic opportunities for the city (Alvarez-Sousa, 2018). Here Figure 3 shows the economic costs of MSW Externalities in Delhi.

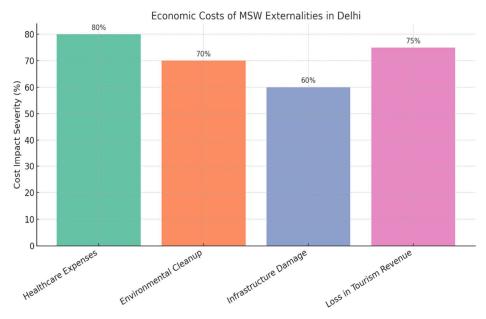


Figure 3: The economic costs of MSW Externalities in Delhi.

4) Social Impacts: MSW externalities have social implications, including impacts on community well-being, quality of life, and social cohesion. The presence of waste dumps and landfills in residential areas can lower property values and diminish residents' sense of safety and security (Wakefield & Elliott, 2000). Furthermore, the informal waste sector, while providing livelihoods for many, perpetuates social inequalities and exploitation of vulnerable populations. Here's a table3 summarizing the social impacts of Municipal Solid Waste (MSW) externalities (Wakefield & Elliott, 2000).

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Table 3: The social impact area due to improper waste management.

| Social Impact Area                     | Description  |
|--|--|
|  | The presence of waste dumps and landfills in residential areas can lower the   |
| Community Well-being                   | overall well-being of communities by negatively affecting their physical       |
|  | and mental health.   |
|  | MSW management issues, such as poor waste disposal and landfill siting,        |
| Quality of Life                        | can lead to diminished quality of life, affecting residents' daily experiences |
|  | and environmental satisfaction.  |
|  | The management of waste in neighborhoods can either strengthen or              |
| Social Cohesion                        | weaken social cohesion, as residents may feel less connected or supportive     |
|  | when living near waste facilities.   |
|  | The proximity of landfills and waste dumps can lower property values,          |
| PropertyValues                         | reducing financial investment in affected areas and creating economic          |
|  | disparities.   |
|  | Landfills and waste dumps in close proximity to residential areas can          |
| Sense of Safety and Security           | reduce residents' sense of safety and security, due to health risks and        |
|  | environmental degradation.   |
| Exploitation of Vulnerable Populations | The informal waste sector, while offering livelihoods to many, often           |
|  | exploits vulnerable populations, contributing to social inequality and         |
|  | precarious working conditions.   |
| Social Inequalities                    | Waste management systems that depend on informal labor tend to                 |
|  | perpetuate inequality, disproportionately affecting marginalized groups.       |

- 5) Ecological Consequences: Wildlife habitats and ecosystems in and around Delhi areadversely affected by MSW externalities. Pollution from waste can disrupt ecological balance, harm biodiversity, and degrade natural landscapes (Kolawole & Iyiola, 2023). Additionally, ineffective waste management practices contribute to the depletion of natural resources and exacerbate habitat destruction.
- 6) Climate Change: MSW management contributes to climate change through the emission of greenhouse gases, particularly methane from landfill decomposition and carbon dioxide from waste incineration (Gautam & Agrawal, 2020). These emissions exacerbate global warming and climate variability, leading to adverse impacts on weather patterns, agriculture, and water resources.

Here's a table 4 summarizing the relationship between Municipal Solid Waste (MSW) management and climate change Gautam & Agrawal, 2020:

Table 4 Relationship between Municipal Solid Waste (MSW) management and climate change

| Climate Change Impact Area          | Description  |
|-------------------------------------|--|
| Greenhouse Gas Emissions            | MSW management contributes to climate change through the release of greenhouse gases such as methane (CH4) from landfill decomposition and carbon dioxide (CO2) from incineration. |
| Methane (Landfill Decomposition)    | Landfills emit methane as organic waste decomposes anaerobically. Methane is a potent greenhouse gas, contributing significantly to global warming.                                |
| Carbon Dioxide (Waste Incineration) | Waste incineration produces carbon dioxide as organic materials are burned, adding to the carbon footprint and contributing to climate change.                                     |
| Global Warming                      | The emission of greenhouse gases from MSW management intensifies global warming by trapping heat in the atmosphere, affecting ecosystems and human health.                         |
| Climate Variability                 | The greenhouse gases from MSW contribute to altering weather patterns, including more frequent and intense weather events such as storms and droughts.                             |
| Impacts on Agriculture              | Increased global temperatures and unpredictable weather patterns disrupt agricultural productivity, threatening food security.   |
| Impacts on Water Resources          | Climate change exacerbated by MSW-related emissions can lead to altered water cycles, affecting the availability and quality of water resources.                                   |



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### V. CONCLUSION

In conclusion, the management of municipal solid waste (MSW) in Delhi presents a complex and multifaceted challenge, with significant environmental, socio-economic, and public health implications. The externalities generated by improper waste management practices underscore the urgent need for comprehensive and sustainable solutions to address the root causes of these issues.

From this study on MSW-related externalities drivers, it is evident that population increase, urbanization, inadequate infrastructure, policy loopholes, and informal waste sector dynamics are some of the key drivers. These drivers interact in intricate ways, aggravating environmental pollution, public health risks, economic costs, and social inequalities in the city. It is important that stakeholders such as policymakers, urban planners, entrepreneurs, civil society groups, and citizens convene in a combined effort to address MSW-related externalities. By cooperating with each other and sharing experience and resources, Delhi can overcome the issues of municipal solid waste and pave the way for a clean, healthy, and sustainable future.

At last, sustainable waste management is not just a requirement for Delhi's environmental and socio-economic health but also an ethical imperative for the present and future generations. Let us take this chance to create a city where waste is reduced, resources are cherished, and the health of all citizens is given top priority.

### VI. SUGGESTIONS AND RECOMMENDATIONS

Through the use of integrated waste management systems is essential for reducing the environmental and socio-economic spill-overs of municipal solid waste (MSW) in Delhi. By emphasizing the reduction of waste, source segregation, recycling, and composting, these systems will endeavor to minimize the volume of waste sent to landfills. Coordination among the government agencies, private firms, and civil society organizations is essential in the creation of efficient collection, transportation, and processing facilities. Enhancing waste management policies and regulations is critical to promote good waste disposal practices and deter illegal dumping. That includes enforcing a rigorous law enforcement system and penalties for non-compliance, as well as introducing incentives for waste minimization, recycling, and utilization of sustainable technologies. By creating a regulatory framework that promotes good waste management practice, Delhi can mitigate the adverse impacts of MSW on the environment and public health.

Investment in waste management infrastructure, such as waste treatment plants, recycling facilities, and composting centers, is necessary to increase the efficiency and effectiveness of MSW management in Delhi. Public-private partnerships and international cooperation can facilitate mobilization of finance and talent towards infrastructure development projects. Involvement of the local community in waste management efforts is necessary to create a sense of ownership and accountability towards waste management. Education initiatives, awareness programs, and inclusive decision-making can empower the citizens to initiate waste reduction and segregation proactively. Grassroots-level promotion of sustainable practices and governmental efforts can be supported through neighbourhood clean-up activities and segregation drives initiated by the community itself.

Regularization and mainstreaming of the informal waste sector into formal waste management systems can enhance livelihoods for recyclers and waste pickers while increasing the efficiency and inclusiveness of waste management operations. Offering training, access to resources, and social protections to informal waste workers can assist in improving their status and ensuring equitable working conditions. Through the recognition of the important contribution of informal integrating them into regular waste management structures, Delhi is able to increase collection and recycling levels while upholding social fairness. Adopting waste management innovations can make MSW management more sustainable and resilient in Delhi. State-of-the-art sorting and recycling technologies, decentralized waste treatment systems, and energy generation using waste are key solutions to minimize MSW externalities. Investment in research and development of innovative solutions specific to local contexts can propel advancement towards the realization of zero waste objectives and a more sustainable urban environment.

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