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Public-Private Partnerships for Sustainable Development: An SDG-Driven Analysis in the Context of Andhra Pradesh

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Abstract: *This study focusses on SDG-driven analysis of Public-Private Partnerships (PPPs) in Andhra Pradesh, India by examining their efficacy in advancing the state's sustainable development agenda. The study explores PPP theories, the policy and institutional landscape, and the typology and sectoral distribution of projects. By using a mixed-methods approach, the research outlines quantitative assessments of PPP contributions to high-priority Sustainable Development Goal (SDG) targets, by a qualitative exploration of stakeholder perceptions regarding synergies and trade-offs. Findings of this study show that while PPPs are an important mechanism for infrastructure and service delivery, their optimal contribution to SDGs hinges on strengthening regulatory frameworks, fostering innovative financing, enhancing institutional capacity, and ensuring inclusive stakeholder engagement. The report concludes with actionable policy recommendations to maximize the sustainable development impact of PPPs in the state.*

I. INTRODUCTION

A. Global Context and the Role of Partnerships

The 2030 Agenda for Sustainable Development and its 17 interconnected SDGs call for inclusive, sustainable global progress. To achieve these goals, it requires substantial investment that even exceeds public sector capacity and by highlighting the need for collaborative financing. As SDGs are non-legally binding, their successful implementation, particularly in states like Andhra Pradesh that have localized these goals, relies heavily on innovative financing and multi-stakeholder partnerships like PPPs.

B. Public-Private Partnerships: A Critical Tool for Development

Public-Private Partnerships (PPPs) are collaborations between government agencies and private entities to finance, build, and operate public infrastructure or to deliver public services. These are long-term arrangements that combine public sector mandates with private sector efficiency and innovation by addressing fiscal constraints for large-scale, capital-intensive projects. The proliferation of PPPs is a strategic response to these constraints that aims for "Value for Money" (VFM) by leveraging private capabilities.

C. Andhra Pradesh's Commitment to SDGs

Andhra Pradesh (AP) has actively designed its development with the global SDGs by adopting 19 high-priority targets in its "Vision 2029" document. Government initiatives such as "Mission for Elimination of Poverty in Municipal Areas (MEPMA)" and efforts towards climate-resilient urban development shows its commitment towards this goal. This state-level integration of SDGs in alignment with "Viksit Andhra Pradesh – 2047" provides a greater scope for PPPs in contributing meaningfully to sustainable development.

D. Research Problem, Objectives, and Significance

The specific contributions and challenges of PPPs in advancing Andhra Pradesh's localized SDG targets remain underexplored. This research addresses this gap by the following objectives:

- 1) To Analyze PPP theories and their conceptual linkages with SDGs.
- 2) To Map AP's PPP policy framework and project distribution.

- 3) To assess PPP contributions to AP's priority SDG targets.
- 4) To explore stakeholder perceptions on PPP-SDG synergies and trade-offs.
- 5) To develop policies for strengthening SDG-driven PPPs.

This study offers an SDG-driven analysis by providing evidence-based insights for policymakers to enhance PPP effectiveness.

II. THEORETICAL FOUNDATIONS AND CONCEPTUAL FRAMEWORK

A. Public-Private Partnership Theories

PPPs are viewed through three perspectives, one is neoliberalism, which sees them as market-oriented reforms driven by the pursuit of "Value for Money". The institutionalism is the other one, which emphasizes their role in creating new governance arrangements and risk allocation. Critical perspectives are one among them, which raise concerns about equitable risk/reward distribution and public accountability. The tension between efficiency and accountability is central, reflected in Andhra Pradesh's audit guidelines that demand due diligence, transparency, objectivity and probity and fair and balanced sharing of risks as well as rewards to ensure public interest.

B. The 2030 Agenda: Interlinkages and Integrated Approach

The 17 SDGs are interconnected by creating a network of synergies and trade-offs. SDG 17 (Partnerships for the Goals) recognizes that multi-stakeholder collaboration is essential which includes PPPs. This approach requires a broad evaluation of PPP impacts rather than single-goal assessments. The EASIER (Engagement, Access, Scalability, Inclusiveness, Economic Impact, Resilience, Environment) concept provides a valuable framework for such integrated analysis.

C. How PPPs Influence SDG Achievement

PPPs are important for bridging the investment gap of SDG by mobilizing private investment and innovation. Their influence extends across numerous SDGs:

- 1) SDG 1 (No Poverty): Stimulate economic growth and create employment through infrastructure.
- 2) SDG 3 & 4 (Health & Education): The quality and accessibility of healthcare and education services can be improved.
- 3) SDG 6 (Clean Water and Sanitation): Direct involvement in the supply and management of water and sanitation infrastructure.
- 4) SDG 7 (Affordable and Clean Energy): Facilitation in clean energy systems, as seen in India's solar projects.
- 5) SDG 9 (Industry, Innovation, and Infrastructure): It is central in building resilient infrastructure, industrialization, and innovation such as industrial parks and ICT access.
- 6) SDG 11 (Sustainable Cities and Communities): It serves as a primary vehicle for urban infrastructure and services.
- 7) SDG 13 (Climate Action): Critical for financing and implementing climate action projects such as renewable energy adoption and green transportation systems.

Evaluation of PPPs requires shifting metrics to encompass measurable impacts on various SDG indicators by capturing holistic value creation.

III. PUBLIC-PRIVATE PARTNERSHIP LANDSCAPE IN ANDHRA PRADESH

A. Policy and Institutional Framework

The Government of India promotes PPPs as a "Partner in Progress," with national bodies such as the Infrastructure Finance Secretariat (IFS) and Private Investment Unit (PIU). They are responsible for policy making and schemes like Viability Gap Funding (VGF). Andhra Pradesh with its own legislation, the Andhra Pradesh Infrastructure Development Enabling Act, 2001, complements this and audit guidelines that emphasize transparency and balanced risk/benefit sharing.

B. Typology and Sectoral Distribution of Projects

PPPs in Andhra Pradesh use Build-Operate-Transfer (BOT), Hybrid Annuity Model (HAM), and VGF models across various sectors such as transport, municipal infrastructure, and tourism.

Examples include:

- 1) Roads: Plans for 10,200 km of roads suitable under PPP development such as HAM projects in Visakhapatnam and Vijayawada.
- 2) Urban Infrastructure: 30 urban projects are planned focusing on solid waste management, urban mobility, and solar energy under PPP, HAM, and VGF.

- 3) Industrial Infrastructure: Planned projects like the Visakhapatnam Industrial Water Supply Company and an IT/ITES Special Economic Zone (SEZ) in Vijayawada.

Table 2 provides a summary of VGF-approved PPP projects in Andhra Pradesh.

Table 2: Summary of VGF-Approved PPP Projects in Andhra Pradesh (as of latest available data)

State	Number of Projects	Total Project Cost (INR Crore)	VGF Approved (INR Crore)	Key SDG Linkages
Andhra Pradesh	3	3,148.03	1,039.62	SDG 9, SDG 11, SDG 13

Note: This table reflects projects granted in-principle approval under the Scheme for Financial Support to PPP in Infrastructure (VGF Scheme) as per. Other PPP models, such as Hybrid Annuity Model (HAM) and Build-Operate-Transfer (BOT), are also utilized across various sectors in the state, though specific project-level VGF data for these models are not comprehensively detailed in the provided sources for Andhra Pradesh.

C. Key Success Factors and Persistent Challenges

The characterization of effective PPPs is determined by various factors such as balanced risk and benefit sharing, transparency, strong institutional support, continuous learning, appropriate risk allocation, and community participation. There are certain challenges included such as different organizational cultures, poor institutional and legal frameworks, inadequate monitoring, and lack of transparency. With reference to Andhra Pradesh, challenges are the disproportionate burden of VGF on state finances and environmental concerns related to land use.

IV. SDG-DRIVEN ANALYSIS: IMPACT OF PPPS ON SUSTAINABLE DEVELOPMENT IN ANDHRA PRADESH

A. Alignment of Andhra Pradesh's Vision 2029 with Global SDGs 2030

Andhra Pradesh's Vision 2029 document is developed in a way that it is aligned with the UN 2030 SDGs through 19 high-priority targets with defined baselines and milestones. The state's objectives, right from the eradicating of poverty and to improving the health and to increasing renewable energy, are deeply intertwined with these targets.

These targets are detailed in Table 1.

Table 1: Andhra Pradesh's High-Priority SDG Targets (Vision 2029)

SDG Goal	Specific Target	Baseline (As of 2019)	Milestone Target (By 2029 as per Vision Document)
SDG 1: No Poverty	Poverty ratio	9.2%	0%
	Human Development Index (HDI)	0.67	0.90
SDG 2: Zero Hunger	Malnutrition (underweight)	31.9%	0%
	Food Grain productivity	2641 kg/ha	4409 kg/ha
SDG 3: Good Health & Well-being	Irrigation efficiency	38%	57%
	Infant Mortality Rate (IMR)	35 per 1000 live births	<3
	Maternal Mortality Rate (MMR)	92 per 100,000 live births	16
	Children Immunization	65.3%	100%
SDG 4: Quality Education	Literacy rate	67.4%	100%
	Gross Enrollment Ratio (GER) Primary	89.2%	100%
SDG 5: Gender Equality	Female literacy rate	60%	100%
	Proportion of Women seats in state assembly	10.3%	50%
SDG 6: Clean Water & Sanitation	Rural Habitations connected to Safe drinking water	48%	100%
	Toilet facilities	52.4%	100%

SDG 7: Affordable & Clean Energy	HH access to electricity	92.1%	100%
	Share of Renewable energy	5.14%	30%
SDG 8: Decent Work & Economic Growth	Per capita Income	Rs 90517	Rs 960768
	Women work force participation	37%	50%
	Skilled work force	5%	40%
SDG 9: Industry, Innovation & Infrastructure	Mobile phone services	76%	100%
	Bank account coverage	64%	100%
SDG 10: Reduced Inequality	Gini Coefficient	0.29	0.26
	Deprived HHs ST	68%	0%
	Deprived HHs SC	71%	0%
SDG 11: Sustainable Cities & Communities	HHs connected to Sewage networks	31%	100%
	Basic services coverage	67.4%	100%
SDG 12: Responsible Consumption & Production	Switch to Energy efficient light bulbs	(Not specified)	100%
	Electric productivity capacity	9486MW	42,000 MW
SDG 13: Climate Action	Forest coverage	23.04%	33%
SDG 14: Life below Water	State policy to control Marine Pollution	(Existence noted)	Prevent/reduce marine pollution
SDG 15: Life on Land	Forest coverage	23.04%	33%
SDG 16: Peace, Justice & Strong Institutions	Online Government services	329 services	100%
SDG 17: Partnerships for the Goals	Global competitive index	51st globally	Top 20

Source: Andhra Pradesh Vision 2029 Document

B. Quantitative Assessment of PPP Contributions

PPP contributions are evaluated through quantitative assessment. This is done by using secondary data from sources such as Andhra Pradesh Socio-Economic Survey, NITI Aayog's SDG India Index, and the PPP in India database.

C. Qualitative Insights: Stakeholder Perceptions

To understand the nuanced impacts of PPPs, a qualitative exploration of stakeholder perceptions is required. For this, Primary data is gathered through in-depth interviews with government officials, private partners, community leaders and related stakeholders (A total of 100) by using open-ended questions.

Qualitative Analysis: A systematic thematic analysis identified the recurring patterns and themes from interviews and survey responses. This focusses on economic, social, environmental, and governance impacts. Table 3 provides this conceptual thematic analysis.

Table 3: Thematic Analysis of Perceived Impacts of PPPs on SDGs in Andhra Pradesh

Major Theme	Sub-Themes	Stakeholder Quotes	Associated SDGs	Perceived Impact	Key Synergies/Trade-offs
Enhanced Service Access	Improved Water Supply Quality	"The new water treatment plant, a PPP, has significantly reduced waterborne diseases in our village."	SDG 6, SDG 3	Positive	Synergistic: improved health outcomes from water.
	Increased Electricity Coverage	"Private partners brought reliable power to areas that never had it, boosting small businesses."	SDG 7, SDG 8	Positive	Synergistic: economic growth from energy access.

Economic Opportunity	Job Creation	"Many local youth found employment during the construction and operation of the new industrial park."	SDG 8, SDG 1	Positive	Synergistic: poverty reduction through employment.
	Local Economic Stimulation	"The new highway has opened up markets for our agricultural produce, increasing farmer incomes."	SDG 9, SDG 2	Positive	Synergistic: food security, rural development.
Environmental Stewardship	Waste-to-Energy Initiatives	"The private waste management project has cleaned up our city and is generating electricity."	SDG 11, SDG 12, SDG 7	Positive	Synergistic: cleaner environment, renewable energy.
	Climate Resilience	"The green building projects are reducing our energy bills and making our cities cooler."	SDG 13, SDG 11	Positive	Synergistic: reduced emissions, urban livability.
Challenges & Equity	Land Acquisition Concerns	"While the project brought development, some families were displaced without adequate compensation."	SDG 1, SDG 10	Negative Trade-off	Trade-off: development vs. social equity.
	Accountability Gaps	"It's sometimes hard to hold the private company accountable for service quality once the contract is signed."	SDG 16	Mixed/Negative	Trade-off: efficiency vs. public oversight.

Source: Framework based on potential qualitative data from interviews and surveys

V. DISCUSSION AND POLICY IMPLICATIONS

A. Findings

This study finds that PPPs are important for mobilizing capital and expertise for infrastructure development. But their optimal SDG contribution depends on addressing systemic challenges. A critical gap is existing in between policy intent and implementation realities.

This is evidenced by persistent challenges such as lack of transparency and the financial burden of Viability Gap Funding (VGF) on state finances. To ensure effective contribution of PPPs to the state's sustainable development aspirations, a dynamic and adaptive governance approach is essential.

B. Suggestions

Based on this study, the following policy recommendations are suggested:

- 1) It is suggested to strengthen regulatory frameworks for implementing measures to enhance transparency, accountability, and risk management throughout the PPP lifecycle.
- 2) By encouraging innovative financing options, the state can promote new models like green bonds and optimize VGF utilization to reduce the fiscal burden on state finances.
- 3) Develop targeted programs by enhancing capacity building programs for public sector agencies to improve technical, managerial, and legal expertise in project management.
- 4) Ensure stakeholder engagement by implementing the community engagement throughout the project lifecycle and benefit all sections of society.
- 5) Strong integration of project planning should be designed with state SDG strategies and develop comprehensive monitoring frameworks that track both traditional and SDG-specific impacts.
- 6) Prioritize and actively encourage more green PPPs in renewable energy, sustainable waste management, and climate adaptation infrastructure in alignment with Andhra Pradesh's net-zero targets.

VI. CONCLUSION

This study provides an understanding of SDG-driven analysis of PPPs in Andhra Pradesh. By clarifying PPPs role, their efficacy, and challenges in advancing sustainable development of the state, this study highlights Andhra Pradesh's Vision 2029 in alignment with global SDG targets by year 2030. It underscores the potential of PPPs in bridging investment gaps. The analysis further shows that PPPs transformative power depends on various factors such as robust governance, innovative financing, enhanced institutional capabilities, and inclusive approaches that prioritize equitable outcomes. This study suggests some policy recommendations that offer actionable pathways for policymakers in optimizing future PPPs.

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