Hydrodynamic Approximation a Three Layer Model is which both the Surface Layer and the Bulk Metal can Sustain Longitudinal Fields, within the LWL One Present Explicit Analytical Results for $d_\perp(\omega), d_{||}(\omega)$ and for the Ellipsometry Parameters

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Abstract: One considers the simple local three layer model, which has been discussed by McIntyre and ASPNES11 and its frequently used to express the surface parameters $d_\perp(\omega)$ and $d_{||}(\omega)$ in terms of the thickness d of the surface layer and the dielectric constants of surface layer and metal substrate.
Keywords: Plasmon frequency, surface layer, Non local effect

I.   INTRODUCTION

One considers the simple local three layer model, which has been discussed by McIntyre andASPNES11 and its frequently used to express the surface parameters $d_\perp(\omega)$ and $d_{||}(\omega)$ in terms of the thickness d of the surface layer and the dielectric constants of surface layer and metal substrate, provided the surface layer has a reduced symmetry($\varepsilon^s_{xx} = \varepsilon^s_{yy} \neq \varepsilon^s_{zz}$). But it is not possible to determine these optical constants and the thickness d of the surface layer uniquely from the values of $d_\perp(\omega)$ and $d_{||}(\omega)$ or from optical measurements, as has been emphasized by PLIETH and NAEGLE[8]. Moreover, the nonlocal calculation of shows that it does in general not increase the insight into the physics of the problem, if one expresses the surface response functions $d_\perp(\omega)$ and $d_{||}(\omega)$ in terms of parameters of a local model, even if this is formally possible. A simple example is illustrative: The decay length of plasma waves (for $\omega < \omega_p$) and thereby, the effective width of the surface region depends on the frequency. To simulate this effect in a local three layer model one needs a surface layer with an artificial frequency dependence of either the layer thickness or the dielectric functions. Furthermore, it turns out that only within the LWL nonlocal effects can be simulated by a local three layer model so that there is no good reason to express experimental data in terms of dielectric functions of such a model.

One considers within the hydrodynamic approximation a three layer model is which both the surface layer and the bulk metal can sustain longitudinal fields, within the LWL one present explicit analytical results for $d_\perp(\omega), d_{||}(\omega)$ and for the ellipsometry parameters, which contain previous results of ABELES and LOPEZRIOS as special cases and may be useful for the interpretation of experimental data on metal films absorbed on metallic substrates. One discuss surface plasmons in terms of the response functions $d_\perp(\omega), d_{||}(\omega)$, Especially the treatment of "multipole" surface plasmons yields some understanding of the frequency dependence of $d_\perp(\omega)$.

A.   Mathematial formalism used in the evaluation. Boundary Conditions for the Asymptotic Fields
One assume that far from the surface the exact electromagnetic fields reduce to transverse fields and compare the exact solution E (r) = E (z) exp [ik_xx- ωt]] of Maxwell's equations in the whole space with a reference field defined by
E^0(z;a) = E$^<$(z)ɵ(a-z) + E$^>$(z)ɵ(z-a)   (4.1)

Where the transverse fields E$^<$(z) and E$^>$(z) are the extrapolations of the asymptotic limits of E(z) on the vacuum side and on the metal side, respectively, towards a plane z = a in the surface region. The reference field (4.1) together with the corresponding B^0-field and the displacement field.

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$D^0(z;a) = \varepsilon_a E^<(z)\theta(a-z) + \varepsilon_t E^>(z)\theta(z-a)$ (4.2)   is assumed to solve Maxwell's equations with the local dielectric constants ε_a in the halfspace $z < a$ and ε_t in the metallic halfspace $Z > a$. Here and in the following we assume the local approximation $\varepsilon_t(k,\omega) = \varepsilon_t(0,\omega) = \varepsilon_t$ to be sufficient for the bulk response of the metal to transverse waves. Furthermore we consider the slightly more general case that to the left of the surface we have a dielectric described by ε_a, rather than vacuum.

Since the reference fields are determined by the asymptotic values of the exact field, the reference fields will in general not satisfy the standard matching conditions at the plane z=a. That means, the reference field is not the solution of the classical Fresnel problem with dielectric constants ε_a and ε_t in $z <a$ and $z > a$, respectively. On the contrary, the reference field contains by definition the full information about the reflection and transmission properties of the nonlocal surface problem. Following APELL[2], and more closely, recent work by KMPA and GERHARDTS we now derive the exact matching conditions for the reference field.

To be specific, we consider first the case of p polarization and write the field in the dielectric in the form

$$E_x^<(z) = -\frac{cP_a}{\omega}E_0\left(e^{izP_a} - r_p e^{-izP_a}\right)$$ (4.3a)

$$E_z^<(z) = -\frac{ck_x}{\omega}E_0\left(e^{izP_a} - r_p e^{-izP_a}\right)$$ (4.3b)

With E_0 the amplitude of the incident field, r_p the reflection amptitude and $k_x^2 + p_a^2 = \varepsilon_a\omega^2/c^2$. The asymptotic transverse field inside the metal is written as

$$E_x^>(z) = E_x^t e^{izP_t})$$ (4.4a)

$$E_x^>(z) = -\frac{k_x}{P_t}E_x^t e^{izP_t})$$ (4.4b)

With $k_x^2 + p_t^2 = \varepsilon_t\omega^2/c^2$. By construction of the reference field there exist $\geq$ values $\xi_1 < a$ and $\xi_2 < a$ (slightly) outside the surface region, so that the exact field agree practically with the reference fields, e.g. $D(z) \approx D^0(z;a)$, for $z <\xi_1 <$ and $z <\xi_2$. Since both the exact fields and the reference fields satisfy in the half space $z > a$ and $z < a$ Maxwell's equations, although with different constitution equations, we can use $V.D. = ik_x D_x + D_z^1 = 0$ for both $D(r)$ and $D^0(r;a)$ to evaluate

$$\int_a^{\xi_2} dz\,(D_z^1(z) - D_z^{01}(z;a)) = [D_z(a) - D_x^>(a)]$$

$$= ik_x\int_a^{\xi_2} dz\,[D_x(z) - D_x^0(z;a)]$$ (4.5)

Where $D_z(\xi_2) = D_z^0(\xi_2;a) = D_z^>(\xi_2)$ has been taken into account. Adding the corresmenting integral over the interval $\xi_1 < z < a$, we obtain, since $D_z(z)$ is continuous at z=a,

$$D_z^>(a) - D_z^<(z) = -ik_x\int_{\xi_1}^{\xi_2} dz\,D_x(z) - D_x^0(z;a)]$$ (4.6)

This matching condition for the asymptotic fields replaces the standard boundary condition "$D_z(z)$ continuous".

A second matching condition for the asymptotic fields, corresponding to the standard boundary condition "$E_x(z)$ continuous", is obtained from Faraday's Law $\nabla \times E = -c^{-1}\partial B/\partial t$ i.e. $ik_x E_z - E_x^1 = i\omega B_y/c$ which yields for instance

$$\int_a^{\xi_2} dz E_x^1(z) - E_x(\xi_2) - E_x(a)$$

$$ik_x\int_a^{\xi_2} dz E_z(z) + i\frac{\omega}{c}\left[\xi_2 B_y(\xi_2) - aB_y(a^+)\right] + \frac{\omega^2}{c^2}\int_a^{\xi_2} dz\,(zD_x(z)$$ (4.7)

Here we have integrated by parts, using

$B_y^1 = i\omega D_x/c$, The x component of Ampere's slaw $\nabla \times H = -c^{-1}\partial B/\partial t$. We now substract from (4.7) the corresponding expression for the reference fields and add the results to that obtained in the same way by integrating over the interval $\xi_1 < z < a$.thisyield the matching condition.

Where the explicit boundary terms at $z = \xi_1$ and $z = \xi_2$ have cancelled according to the definition of the reference fields. The boundary terms $\sim a[B_y^>(a) - B_y^<(a)]$ have term included in the integral of (4.8) using $ik_x B_y = i\omega D_y/c$ and (4.6).

For a compact notation we define the following moments of "surface solutions" LWL

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